



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*[Handwritten signature]*

Applicants: Daniel Kuzmich *et al.*

Serial No.: 10/739,208

Filed: December 18, 2003

Docket: 9/272

For: GLUCOCORTICOID MIMETICS, METHODS OF MAKING THEM,  
PHARMACEUTICAL COMPOSITIONS, AND USES THEREOF

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PETITION TO CORRECT INVENTORSHIP UNDER 37 C.F.R. § 1.48(a)**

Dear Sir:

Applicants hereby petition under 37 C.F.R. § 1.48(a) to correct the inventorship of the above-identified application by adding the following inventor:

<b>Applicant Authority Type::</b>	Inventor
<b>Primary Citizenship Country::</b>	Canada
<b>Status::</b>	Full Capacity
<b>Given Name::</b>	Younes
<b>Family Name::</b>	BEKKALI
<b>City of Residence::</b>	Danbury
<b>State or Province of Residence::</b>	CT
<b>Country of Residence::</b>	US
<b>Street of mailing address::</b>	900 Ridgebury Road
<b>City of mailing address::</b>	Ridgefield
<b>State or Province of mailing address::</b>	CT
<b>Country of mailing address::</b>	US
<b>Postal or Zip Code of mailing address::</b>	06877

Younes Bekkali was erroneously omitted from the names of the inventors when the patent application was filed. As required under 37 C.F.R. § 1.48(a)(2), attached is a statement from

05/14/2004 TBESHAH1 00000021 022955 10739208

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Younes Bekkali that the error in the inventorship occurred without any deceptive intention on his part.

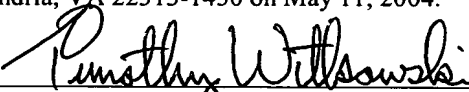
The processing fee for this Petition is \$130.00 under 37 C.F.R. § 1.17(i). The Commissioner is hereby authorized to charge this and any other fee required for this Petition or any other paper accompanying this Petition to Deposit Account No. 02-2955.

As required under 37 C.F.R. § 1.48(a)(4), attached herewith is a new declaration setting forth the correct inventorship of the above-identified application.

As required under 37 C.F.R. § 1.48(a)(5), attached herewith is a Communication Establishing Right of Assignee to Take Action Under 37 C.F.R. § 3.73(b).

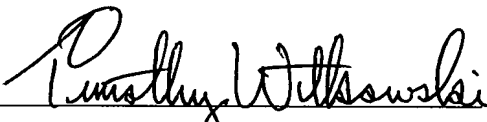
Applicants respectfully request correction of the inventorship as indicated above and a notification that such correction has been made. Please advise me immediately if you require anything further.

**Certificate of Mailing Under 37 C.F.R. § 1.8(a)**  
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 11, 2004.

  
\_\_\_\_\_  
Timothy X. Witkowski  
Registration No. 40,232

5-11-04  
\_\_\_\_\_  
Dated

Respectfully submitted,

  
\_\_\_\_\_  
Timothy X. Witkowski  
Registration No. 40,232  
Attorney for Applicants

BOEHRINGER INGELHEIM CORPORATION  
Patent Department  
900 Ridgebury Road  
P.O. Box 368  
Ridgefield, CT 06877  
Telephone: (203) 798-4310  
Facsimile: (203) 798-4408



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Daniel Kuzmich *et al.*

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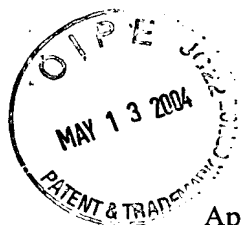
**STATEMENT OF YOUNES BEKKALI**

Dear Sir:

During a recent review of the inventorship of the above-identified application, it was discovered that I was erroneously omitted as an inventor. This inventorship error occurred without any deceptive intention on my part.

\_\_\_\_\_  
Younes Bekkali

Date: 5/6/04



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Daniel Kuzmich *et al.*

Serial No.: 10/739,208

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PHARMACEUTICAL COMPOSITIONS, AND USES THEREOF

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**COMMUNICATION ESTABLISHING RIGHT OF ASSIGNEE  
TO TAKE ACTION UNDER 37 C.F.R. § 3.73(b)**

Dear Sir:

In connection with the attached Petition, applicants herewith establish the right of the assignee under 37 C.F.R. § 3.73(b) to take action in the above application.

Boehringer Ingelheim Pharmaceuticals, Inc. is the Assignee of Record of the entire right, title and interest in and to the above-identified application as set forth in the attached Assignment. The Assignment was submitted for recordation in the U.S. Patent and Trademark Office on April 14, 2004. Applicants have not yet received a recordation notice from the Office.

The undersigned is authorized to act on behalf of the assignee.

**Certificate of Mailing Under 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 11, 2004.

Timothy X. Witkowski  
Registration No. 40,232

5-11-04

Dated

Respectfully submitted,

Timothy X. Witkowski  
Registration No. 40,232  
Attorney for Applicants

BOEHRINGER INGELHEIM CORPORATION  
Patent Department  
900 Ridgebury Road  
P.O. Box 368  
Ridgefield, CT 06877  
Telephone: (203) 798-4310  
Facsimile: (203) 798-4408

## ASSIGNMENT

In consideration of one Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledge, I (We), the undersigned,

Applicant Authority Type::	Inventor
Primary Citizenship Country::	US
Status::	Full Capacity
Given Name::	Daniel
Family Name::	KUZMICH
City of Residence::	Danbury
State or Province of Residence::	CT
Country of Residence::	US
Street of mailing address::	900 Ridgebury Road
City of mailing address::	Ridgefield
State or Province of mailing address::	CT
Country of mailing address::	US
Postal or Zip Code of mailing address::	06877-0368

Applicant Authority Type::	Inventor
Primary Citizenship Country::	US
Status::	Full Capacity
Given Name::	Thomas
Middle Name::	Wai-Ho
Family Name::	LEE
City of Residence::	Brookfield
State or Province of Residence::	CT
Country of Residence::	US
Street of mailing address::	900 Ridgebury Road
City of mailing address::	Ridgefield
State or Province of mailing address::	CT
Country of mailing address::	US
Postal or Zip Code of mailing address::	06877-0368

Applicant Authority Type::	Inventor
Primary Citizenship Country::	Ireland
Status::	Full Capacity
Given Name::	John
Middle Name::	Robert
Family Name::	PROUDFOOT
City of Residence::	Newtown
State or Province of Residence::	CT
Country of Residence::	US
Street of mailing address::	900 Ridgebury Road
City of mailing address::	Ridgefield

State or Province of mailing address:: CT  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 06877-0368

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: John  
Middle Name:: Robinson  
Family Name:: REGAN  
City of Residence:: Larchmont  
State or Province of Residence:: NY  
Country of Residence:: US  
Street of mailing address:: 900 Ridgebury Road  
City of mailing address:: Ridgefield  
State or Province of mailing address:: CT  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 06877-0368

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: United Kingdom  
Status:: Full Capacity  
Given Name:: David  
Middle Name:: S.  
Family Name:: THOMSON  
City of Residence:: Ridgefield  
State or Province of Residence:: CT  
Country of Residence:: US  
Street of mailing address:: 900 Ridgebury Road  
City of mailing address:: Ridgefield  
State or Province of mailing address:: CT  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 06877-0368

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: Abdelhakim  
Family Name:: HAMMACH  
City of Residence:: Danbury  
State or Province of Residence:: CT  
Country of Residence:: US  
Street of mailing address:: 900 Ridgebury Road  
City of mailing address:: Ridgefield  
State or Province of mailing address:: CT  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 06877-0368

COPY

Applicant Authority Type::	Inventor
Primary Citizenship Country::	US
Status::	Full Capacity
Given Name::	Mark
Middle Name::	Stephen
Family Name::	RALPH
City of Residence::	Beacon Falls
State or Province of Residence::	CT
Country of Residence::	US
Street of mailing address::	900 Ridgebury Road
City of mailing address::	Ridgefield
State or Province of mailing address::	CT
Country of mailing address::	US
Postal or Zip Code of mailing address::	06877-0368

Applicant Authority Type::	Inventor
Primary Citizenship Country::	US
Status::	Full Capacity
Given Name::	Renee
Family Name::	ZINDELL
City of Residence::	New Milford
State or Province of Residence::	CT
Country of Residence::	US
Street of mailing address::	900 Ridgebury Road
City of mailing address::	Ridgefield
State or Province of mailing address::	CT
Country of mailing address::	US
Postal or Zip Code of mailing address::	06877-0368

hereby sell, assign and transfer to Boehringer Ingelheim Pharmaceuticals, Inc., a corporation of the State of Delaware, having a place of business at 900 Ridgebury Road, P. O. Box 368, Ridgefield, CT 06877-0368 its successors, assigns and legal representatives, our entire right, title and interest in and to any and all improvements which are disclosed in the application for United States Letters Patent which is entitled:

Glucocorticoid Mimetics, Methods of Making Them, Pharmaceutical Compositions, and Uses Thereof

which

☐ was executed by me (us) on





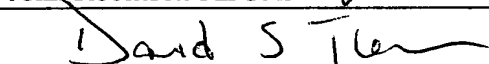
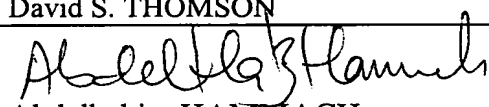

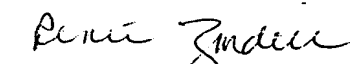
☒ was filed on December 18, 2003, and accorded Serial No.: 10/739,208.

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States on any of said improvements, and in and to all original and

reissued patents which have been or shall be issued in the United States on said improvements;

Agree said Assignee may apply for and receive Letters Patent for said improvements in its own name, and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements, execute all rightful oaths, assignments, powers of attorney and other papers, communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof, generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applicants for patents and all patents on said improvements in said Assignee, its successors, assigns and legal representative; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant mortgage license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

SIGNATURE OF APPLICANT	DATE
 Daniel KUZMICH	3-31-04
 Thomas Wai-Ho LEE	4/1/2004
 John Robert PROUDFOOT	4/1/2004
 John Robinson REGAN	4/2/04
 David S. THOMSON	4/6/2004
 Abdelhakim HAMMACH	3/31/04
 Mark Stephen RALPH	04/02/04
 Renee ZINDEEL	3/31/04

ASSIGN.FORM.DOC/LGL/PAT/FRMS/TMP/PAT





Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (12-97)  
Approved for use through 9/30/00. OMB 0651-0032  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b> (37 CFR 1.63)	<b>Attorney Docket Number</b>	9/272
	<b>First Named Inventor</b>	KUZMICH, D., et al
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	10 / 739,208
	<b>Filing Date</b>	December 18, 2003
	<b>Group Art Unit</b>	To be assigned
	<b>Examiner Name</b>	To be assigned

☐ Declaration Submitted with Initial Filing      **OR**      ☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

**As a below named inventor, I hereby declare that:**

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Glucocorticoid Mimetics, Methods of Making Them, Pharmaceutical Compositions and Uses Thereof**

the specification of which (Title of the Invention)

☐ is attached hereto  
**OR**  
☒ was filed on (MM/DD/YYYY) **December 18, 2003** as United States Application Number or PCT International Application Number **10/739,208** and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)
60/437,925	January 3, 2003
60/445,192	February 5, 2003

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (12-97)  
Approved for use through 9/30/00. OMB 0651-0032  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number  OR  
☒ Registered practitioner(s) name/registration number listed below

Place Customer  
Number Bar Code  
Label here

Name	Registration Number	Name	Registration Number
Robert P. Raymond	25,089	Anthony P. Bottino	41,629
Michael P. Morris	34,513	Susan K. Pocchiari	45,016
Mary-Ellen M. Devlin	27,928	Philip I. Datlow	41,482
Alan R. Stempel	28,991	David A. Dow	46,124
Timothy X. Witkowski	40,232	Andrea D. Small	54,859

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☒ Customer Number  OR ☐ Correspondence address below

Name					
Address					
Address					
City		State		ZIP	
Country		Telephone		Fax	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))		Family Name or Surname					
Daniel		KUZMICH					
Inventor's Signature	<i>Daniel Kuzmich</i>		Date	5-10-04			
Residence: City	Danbury	State	CT	Country	US	Citizenship	US
Post Office Address	900 Ridgebury Road						
Post Office Address							
City	Ridgefield	State	CT	ZIP	06877	Country	US

☐ Additional inventors are being named on the \_\_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> Supplemental Sheet
	Page <u>1</u> of <u>3</u>

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Thomas Wai-Ho		LEE	
Inventor's Signature <i>Thomas Wai-Ho</i>		Date <u>5/6/04</u>	
Residence: City Danbury	State CT	Country US	Citizenship US
Mailing Address 900 Ridgebury Road			
Mailing Address			
City Ridgefield	State CT	Zip 06877	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
John Robert		PROUDFOOT	
Inventor's Signature <i>John Proudfoot</i>		Date <u>5/6/2004</u>	
Residence: City Newtown	State CT	Country US	Citizenship Ireland
Mailing Address 900 Ridgebury Road			
Mailing Address			
City Ridgefield	State CT	Zip 06877	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
John Robinson		REGAN	
Inventor's Signature <i>John Robinson Regan</i>		Date <u>5/6/2004</u>	
Residence: City Larchmont	State NY	Country US	Citizenship US
Mailing Address 900 Ridgebury Road			
Mailing Address			
City Ridgefield	State CT	Zip 06877	Country US

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Docket No. 9/272

<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> Supplemental Sheet <div style="text-align: right;">Page <u>2</u> of <u>3</u></div>
--------------------	---

<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
David S.		THOMSON	
Inventor's Signature <i>David S Thomson</i>		Date <i>5/6/04</i>	
Residence: City Ridgefield	State CT	Country US	Citizenship United Kingdom
Mailing Address 900 Ridgebury Road			
Mailing Address			
City Ridgefield	State CT	Zip 06877	Country US
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Abdelhakim		HAMMACH	
Inventor's Signature <i>Abdelhakim</i>		Date <i>5/6/04</i>	
Residence: City Danbury	State CT	Country US	Citizenship US
Mailing Address 900 Ridgebury Road			
Mailing Address			
City Ridgefield	State CT	Zip 06877	Country US
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Mark Stephen		RALPH	
Inventor's Signature <i>Mark Stephen Ralph</i>		Date <i>5/6/04</i>	
Residence: City Beacon Falls	State CT	Country US	Citizenship US
Mailing Address 900 Ridgebury Road			
Mailing Address			
City Ridgefield	State CT	Zip 06877	Country US

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

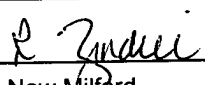
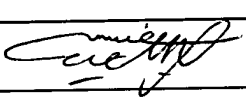
If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Docket No. 9/272

**DECLARATION****ADDITIONAL INVENTOR(S)**

Supplemental Sheet

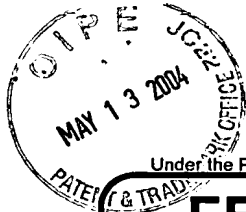
Page 3 of 3

<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Renee		ZINDELL	
Inventor's Signature 		Date <u>5/6/04</u>	
Residence: City	New Milford	State	CT
Country	US	Citizenship	US
Mailing Address 900 Ridgebury Road			
Mailing Address			
City	Ridgefield	State	CT
Zip	06877	Country	US
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Younes		BEKKALI	
Inventor's Signature 		Date <u>5/6/04</u>	
Residence: City	Danbury	State	CT
Country	US	Citizenship	Canada
Mailing Address 900 Ridgebury Road			
Mailing Address			
City	Ridgefield	State	CT
Zip	06877	Country	US
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City		State	
Country		Citizenship	
Mailing Address			
Mailing Address			
City		State	
Zip		Country	

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Docket No. 9/272



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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 130.00

## Complete if Known

Application Number	10/739,208
Filing Date	December 18, 2003
First Named Inventor	Kuzmich, Daniel, et al
Examiner Name	To be assigned
Art Unit	To be assigned
Attorney Docket No.	9/272

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 02-2955  
Deposit Account Name: 02-2955

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$ )			

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	18.00	
Multiple Dependent Claims	-3** =	86.00	
		290.00	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ )

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	130.00
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 130.00

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Timothy X. Witkowski	Registration No. (Attorney/Agent)	40,232	Telephone	203-798-4310
Signature	<i>Timothy X. Witkowski</i>	Date	May 11, 2004		

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